





























**REGISTER OF DIRECTORS AND KEY MANAGERIAL  
PURSUANT TO SECTION 170 OF  
RULE 17 OF COMPANIES (APPOINTMENT AND**

**PERSONNEL WITH THEIR SHARE HOLDINGS  
COMPANIES ACT, 2013 AND  
QUALIFICATIONS OF DIRECTORS) RULES, 2014**



NAME OF COMPANY ..... HB Stock Holdings Limited .....

LIMITED/PRIVATE LIMITED

Director Identification No. (Optional for KMP)	Name and Surname in Full		Date of Birth	Permanent Residential Address	Date of Board Resolution in which Appointment made	Office of Director or KMP held or Relinquished in any other Body Corporate	Membership No. of the ICSI in case of Company Secretary	Income Tax PAN (Mandatory for KMP not having DIN)	DETAILS OF SECURITIES HELD IN THE COMPANY ITSELF, ITS HOLDING COMPANY, SUBSIDIARY COMPANY, SUBSIDIARY OF HOLDING COMPANY OR ASSOCIATE COMPANY								
	Any Former Name and Surname in Full		Nationality (including Nationality of origin, if different)	Present Residential Address					Date of Appointment and Reappointment in the Company	Name of Company where Securities held	No., Description and Nominal Value of Securities	Date of Acquisition	Date of Disposal	Cumulative Balance and No. of Securities held after each Transaction	Mode of Acquisition	Whether Securities Pledged or any Encumbrance created	
	Father's Name, Mother's Name and Spouse's Name (if married) and Surnames in Full																Occupation
-	Mr. Navesh Khanna		14-01-1964	B-233, Priyadarshini Vihar	07-06-21	KIA.		AAMPK 6694A									
	S/o - Ved Prakash Khanna		Indian	Laxmi Nagar, East Delhi													
			Securize	- 110092													







REGISTRAR OF COMPANIES AND BODIES ETC. IN WHICH DIRECTORS ARE INTERESTED

[PURSUANT TO SECTION 189(1) OF COMPANIES ACT, 2013 AND RULE 16(1) OF COMPANIES (MEETINGS OF BOARD & ITS POWERS) RULES, 2014]

A. Contracts or agreements with any related party under Section 188 or in which any director is concerned or interested under sub-section (2) of Section 184

Date of Contract/Arrangement	Name of the Party with which Contract is entered into	Name of the Interested Director	Relation with Director/Company/Nature of Concern or Interest	Principal Terms and Conditions	Whether the Transaction is at Arm's Length Basis	Date of Approval at the Meeting of the Board	Details of Voting on such Resolution				Date of the Next Meeting at which Register was Placed for Signature	Reference of Specific Items - (a) to (g) under sub-section (1) of Section 188	Amount of Contract or Arrangement.	Date of Share Holders Approval if any	Signature	Remarks, if any	
							No. of Directors Present in the Meeting	Directors Voting in Favour	Directors Voting Against	Directors Remaining Neutral							
①	No	RRB Master	Mr. Lalit	Director & Payment of Brokerage Charge (as per following rate structure for trading in securities	Yes	Omnibus approval given by the Audit Committee in its meeting held on 09-02-23 for a sum not exceeding Rs. 40 Lakh for FY. 2023-24	(Audit)	3	2	-	-	23-05-23	188(1)(d)	Not	-	[Signature]	
Formal Contract	Securities Delhi Limited	Bhavin & Mr. Anil Goyal	Shareholder & Shareholder	→ Cash Segment 0.15% or minimum 0.015 paisa per scrip			(Other)						Availing of Service	Rs. 40 Lakh		[Signature]	exceeding
				→ Option Rs. 20/- per lot												[Signature]	
				→ Future 0.01% or minimum 0.01 paisa per scrip												[Signature]	

B. Name of the bodies corporate, firms or other association of individuals as mentioned under sub-section (1) of Section 184, in which any director is having any concern or interest

Names of the Companies / Bodies Corporate/ Firms/ Association of Individuals	Name of the Interested Director (1)	Nature of Interest or Concern / Change in Interest or Concern (1)	Share holding (if any)	Date on which Interest or Concern Arose/ Changed
(1) HB Leasing & Finance Co. Ltd.	Mr. Lalit Bhavin	Director (Chairman)	4128663 (31.32%)	Prior to 01-04-2014
(2) RRB Master Securities Delhi Limited		Director	240290 (3.43%)	"
(3) RRB Home Finance Pvt. Ltd.		Director	200100 (99.95%)	"
(4) HB Estate Developer Limited		Director (Chairman)	4962280 (51.19%) - EO	and 685000 (6.73%) Preference Shares
(5) Pal Properties (India) Pvt. Limited		Director	4250 (85.00%)	"
(6) HB Portfolio Limited		Director (Chairman)	6324671 (58.76%)	"
(7) HB Financial Consultants Pvt. Limited		Director	314390 (29.94%)	"
(8) ALMR Game & Trading Pvt. Limited		Director	39950 (99.88%)	"
(9) CHL Limited		Director	NIL	"
(10) Shree Adya Katyayani Shaktipeeth (Mandir) Trust		Trustee	NIL	"
(11) HB Charitable Society		President	NIL	"
(12) Bhavin Education Society		President	NIL	"
(13) Bhavin Investments Limited		Member (More than 2% shareholding)	850 (22.67%) - Equity Shares	and 50000 (100%) Preference Shares
(14) Meenugold Investments Limited		"	413484 (12.60%)	"
(15) Leas (Portfolios) Pvt. Limited		"	236800 (12.49%)	"
(16) Hax Sai Investments Limited		"	200000 (19.99%)	"
(17) Bhavin Share & Stock Brokers Limited		"	350010 (99.92%)	"
(18) Raja Ram Bhavin Share & Stock Brokers Limited		"	350010 (99.95%)	"
(19) CHE (South) Hotels Limited		"	4020020 (94.81%)	"
(20) AHL Hotels Limited		"	"	"
(21) RRB Securities Limited		"	1783776 (48.44%)	"
(22) Touhu Investment Trust Company Limited		"	2850 (2.91%)	"

Date : 23-05-2023  
 Place : Gurugram  
 [Signature]  
 Signature  
 MD / Director / Secretary /  
 Whole Time Director

**REGISTER OF CONTRACTS WITH RELATED PARTY AND CONTRACTS AND BODIES ETC. IN WHICH DIRECTORS ARE INTERESTED**

[PURSUANT TO SECTION 189(1) OF COMPANIES ACT, 2013 AND RULE 16(1) OF COMPANIES (MEETINGS OF BOARD & ITS POWERS) RULES, 2014]

**A. Contracts or agreements with any related party under Section 188 or in which any director is concerned or interested under sub-section (2) of Section 184**

Date of Contract/Arrangement	Name of the Party with which Contract is entered into	Name of the Interested Director	Relation with Director/Company/Nature of Concern or Interest	Principal Terms and Conditions	Whether the Transaction is at Arm's Length Basis	Date of Approval at the Meeting of the Board	Details of Voting on such Resolution				Date of the Next Meeting at which Register was Placed for Signature	Reference of Specific Items - (a) to (g) under sub-section (1) of Section 188	Amount of Contract or Arrangement.	Date of Share Holders Approval if any	Signature	Remarks, if any	
							No. of Directors Present in the Meeting	Directors Voting in Favour	Directors Voting Against	Directors Remaining Neutral							
2	HB Estate Developers Limited	Mr. Lalit Bhaiya Mr. Anil Goyal	Director & Shareholder Director	Payment of Lease Rental @ Rs. 71,770/- per month plus taxes for an office space adjoining 7,177 sq. ft. at Plot No. 31 Sector-32, Gurugram	Yes	Omnibus approval given by Audit Committee in its meeting held on 09-02-23 for paying Lease Rental upto Rs. 10 Lakh during F.Y. 2023-24	(Audit)	3	2	-	-	23-05-23	188(1)(c)	Not Exceeding Rs. 10 Lakh	-	Mr. Anil Goyal MD	

**B. Name of the bodies corporate, firms or other association of individuals as mentioned under sub-section (1) of Section 184, in which any director is having any concern or interest**

Names of the Companies / Bodies Corporate/ Firms/ Association of Individuals	Name of the Interested Director (2)	Nature of Interest or Concern / Change in Interest or Concern	Share holding (if any)	Date on which Interest or Concern Arose/ Changed
(1) HB Financial Consultants Pvt. Limited	Mr. Anil Goyal	Director	NIL	Prior to 01-04-2014
(2) HB Securities Limited		Director	10 (0.00%)	"
(3) HB Estate Developers Limited		Director	NIL	"
(4) Mount Finance Limited		Director	NIL	"
(5) HB Portfolio Limited		Managing Director	NIL	"
(6) HB Leasing & Finance Company Limited		Managing Director	150 (0.00%)	"
(7) RRB Securities Limited		Director	NIL	"
(8) HB Corporate Services Limited		Director	18 (0.00%)	"
(9) Bhaiya Investments Limited		Director	NIL	"
(10) R.R.B. Home Finance Private Limited		Director	NIL	12-08-2017
(11) Tower Asset Management Company Limited		Additional Director	NIL	01-04-2023
(12) HB Charitable Society		Honorary Treasurer	NIL	Prior to 01-04-2014
(13) Bhaiya Educational Society		Honorary Treasurer	NIL	"
(14) Executive Properties Private Limited		Member (More than 2% shareholding)	115000 (92.00%)	"

Date : 23-05-2023

Place : Gurugram

Mr. Anil Goyal

Signature  
MD / Director / Secretary / Whole Time Director

**REGISTER OF CONTRACTS WITH RELATED PARTY AND CONTRACTS AND BODIES ETC. IN**

**WHICH DIRECTORS ARE INTERESTED**

[PURSUANT TO SECTION 189(1) OF COMPANIES ACT, 2013 AND

RULE 16(1) OF COMPANIES (MEETINGS OF BOARD & ITS POWERS) RULES, 2014]

**A. Contracts or agreements with any related party under Section 188 or in which**

**any director is concerned or interested under sub-section (2) of Section 184**

Date of Contract/Arrangement	Name of the Party with which Contract is entered into	Name of the Interested Director	Relation with Director/Company/Nature of Concern or Interest	Principal Terms and Conditions	Whether the Transaction is at Arm's Length Basis	Date of Approval at the Meeting of the Board	Details of Voting on such Resolution				Date of the Next Meeting at which Register was Placed for Signature	Reference of Specific Items - (a) to (g) under sub-section (1) of Section 188	Amount of Contract or Arrangement.	Date of Share Holders Approval if any	Signature	Remarks, if any	
							No. of Directors Present in the Meeting	Directors Voting in Favour	Directors Voting Against	Directors Remaining Neutral							
③	No	HB Securities	Mr. Anil Goyal	Director	Payment of Custody / Depository Change as per standard rate structure applicable to all clients	Yes	Omnibus approval given by Audit Committee in its meeting held on 09-02-23 for payment of Depository Change upto Rs. 5 Lakhs during F.Y. 2023-24.	3	2	-	-	23-05-23	188(i)(d)	Not	-	Mr. Anil Goyal	Availing exceeding Rs. 5 Lakhs Services

**B. Name of the bodies corporate, firms or other association of individuals as mentioned**

**under sub-section (1) of Section 184, in which any director is having any concern or interest**

Names of the Companies / Bodies Corporate/ Firms/ Association of Individuals	Name of the Interested Director (3)	Nature of Interest or Concern / Change in Interest or Concern	Share holding (if any)	Date on which Interest or Concern Arose/ Changed
(1) Aquarius Portfolios Private Limited	Mr. Ashish Kapur	Director	02 (0.00%)	10-09-1996
(2) Leo Portfolios Private Limited		Director	643464 (33.94%)	18-09-1999
(3) Invest Shoppe India Private Limited		Director	351250 (88.09%)	17-09-2006
(4) BSP Constructions Private Limited		Director	2500 (0.25%)	11-08-2016
(5) RRB Master Securities Delhi Limited		Managing Director	500 (0.01%)	01-10-1997
(6) HB Growth Fund Limited		Member (More than 2% shareholding)	10 (14.29%)	Prior to 01-04-2014
(7) ISP Advisory LLP		Designated Partner	-	25-12-2019
	(4)			
(1) Tower Asset Management Company Limited	Mr. Harbans Lal	Director	NIL	28-10-2016
(2) HB Portfolio Limited		Director	NIL	17-09-2019

Date: 23-05-2023

Place: Gurugram

Signature  
MD- / Director / Secretary /

**REGISTER OF CONTRACTS  
CONTRACTS AND BODIES ETC. IN**

[PURSUANT TO SECTION 189(1) OF COMPANIES ACT, 2013 AND

**A. Contracts or agreements with any related party under Section 188 or in which**

**WITH RELATED PARTY AND  
WHICH DIRECTORS ARE INTERESTED**

RULE 16(1) OF COMPANIES (MEETINGS OF BOARD & ITS POWERS) RULES, 2014]

**any director is concerned or interested under sub-section (2) of Section 184**

Date of Contract/Arrangement	Name of the Party with which Contract is entered into	Name of the Interested Director	Relation with Director/Company/Nature of Concern or Interest	Principal Terms and Conditions	Whether the Transaction is at Arm's Length Basis	Date of Approval at the Meeting of the Board	Details of Voting on such Resolution				Date of the Next Meeting at which Register was Placed for Signature	Reference of Specific Items - (a) to (g) under sub-section (1) of Section 188	Amount of Contract or Arrangement.	Date of Share Holders Approval if any	Signature	Remarks, if any	
							No. of Directors Present in the Meeting	Directors Voting in Favour	Directors Voting Against	Directors Remaining Neutral							
(4)	Mount Finance Limited (Wholly Owned Subsidiary)	Mr. Anil Goyal	Director	Payment of Loans/ Advance Money not exceeding Rs. 5 Lakh	Yes	Omnibus approval given by Audit Committee in its meeting held on 09-02-23 for a sum not exceeding Rs. 5 Lakh	(Audit)	3	2	-	-	23-05-23		Not exceeding Rs. 5 Lakh	-	Mr. Anil Goyal	

**B. Name of the bodies corporate, firms or other association of individuals as mentioned**

**under sub-section (1) of Section 184, in which any director is having any concern or interest**

Names of the Companies / Bodies Corporate/ Firms/ Association of Individuals	Name of the Interested Director (5)	Nature of Interest or Concern / Change in Interest or Concern	Share holding (if any)	Date on which Interest or Concern Arose/ Changed
(1) G. Rai & Co.	Mr. Gushan Rai	Partner	-	-
(1) HB Estate Developers Limited	Mr. Anko Mehra	Director	NIL	12-08-2009

Date : 23-05-2023

Place : Gurugram

Signature  
MD / Director / Secretary /